

EFFICIENCY MAINE BUSINESS PROGRAM Prescriptive Lighting Application & Instructions

For Replacement of Operating Equipment (Retrofit)

5 Easy Steps to receive your Efficiency Maine incentive:

- ❑ **1. Is your project eligible?**
 - Equipment must be new and installed in a commercial, industrial, institutional, educational, or municipal building, or in common areas of a multi-family building.
- ❑ **2. Is the equipment you intend to buy eligible?**
 - There are many styles and combinations of lighting products. The exact product types listed in this form are eligible for prescriptive incentives. However, other lighting measures not listed here may be eligible for custom incentives using the Efficiency Maine Custom Lighting Incentive Application. Ask an Efficiency Maine representative for further details. (See our comprehensive [Lighting Guide](#) for more information.)
- ❑ **3. Complete and sign the application.**
 - **Incomplete applications will delay review and payment.** Please be sure to provide **all** requested information.
 - Review the Application Checklist on page 2.
- ❑ **4. If your total incentive request is less than \$2,500:**
 - Pre-approval is NOT required. Within 60 days of project completion, send the following to Efficiency Maine:
 1. Your application with all requested information filled in.
 2. Manufacturer's technical specification sheets ("cut sheets") for each type of eligible equipment purchased.
 3. A copy of your invoice showing the date and place of purchase and the model/part numbers of the equipment installed.
- ❑ **5. If your total incentive request is greater than \$2,500:**
 - Pre-approval IS required: *Before* you purchase and install the equipment, send the following to Efficiency Maine in order to receive a "pre-approved incentive" letter:
 1. Your application with all requested information filled in.
 2. Manufacturer's technical specification sheets ("cut sheets") for each type of eligible equipment purchased.

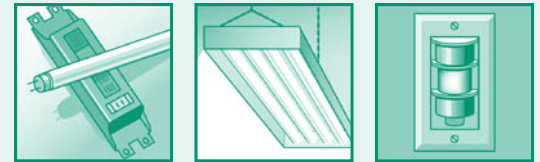
Please note that item 2 above must be submitted in order for your application to be processed.

 - Within 60 days of project completion, send the following to Efficiency Maine:
 1. A *signed* copy of your "pre-approved incentive" letter.
 2. A copy of your invoice showing the date and place of purchase and model/part numbers of the equipment installed.

Please note: In order to receive an incentive from Efficiency Maine, invoices and manufacturer's technical data sheets ("cut sheets") will always be required.



Leading the Way to a Brighter Future



Efficiency Maine provides incentives to reduce the purchase price of premium, reliable, energy efficient lighting products.

Efficiency Maine cash incentives lower your purchase costs, but the real savings come as this premium equipment reduces your energy costs in the future. When you purchase efficient lighting products, you lower your electric bill, provide quality lighting for your staff and customers, and help Maine avoid costly, new electric generation.

If you have questions, or if you would like assistance in completing this form, call us at 866-376-2463.

For information about equipment for your home, go to efficiencymaine.com and look at our residential offerings.

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We are available to assist you with completing this application. Call us at 866-376-2463.
ALL INFORMATION ON THIS PAGE IS REQUIRED.

PROGRAM PARTICIPANT INFORMATION

Company Name:	<input type="checkbox"/> Business <input type="checkbox"/> Nonprofit Organization <input type="checkbox"/> Government	Electric Utility Co:	Federal Tax ID:	# of Employees:
Mailing Address:	City:	State:	Zip Code:	
Contact Name/Title:				
Email Address:	Telephone:	Fax:		
Physical Installation Address (if different from above):	City:	State:	Zip Code:	Self-installed? <input type="checkbox"/> Yes <input type="checkbox"/> No

QUALIFIED PARTNER/CONTRACTOR INFORMATION

Qualified Partner/Contractor 1:	Contact Name/Title:
Mailing Address:	City: State: Zip Code:
Email Address:	Telephone: Fax:
Qualified Partner/Contractor 2:	Contact Name/Title:
Mailing Address:	City: State: Zip Code:
Email Address:	Telephone: Fax:

FACILITY DESCRIPTION

Facility Type: <input type="checkbox"/> Agriculture <input type="checkbox"/> Health <input type="checkbox"/> Restaurant <input type="checkbox"/> College <input type="checkbox"/> Hospital <input type="checkbox"/> Retail <input type="checkbox"/> Convenience Stores <input type="checkbox"/> Lodging <input type="checkbox"/> Warehouse <input type="checkbox"/> Grocery Store <input type="checkbox"/> Manufacturing <input type="checkbox"/> Garage/Repair <input type="checkbox"/> Elementary/Secondary Schools <input type="checkbox"/> Office <input type="checkbox"/> Other (Please Specify)	Project Description: <input type="checkbox"/> Replacement of Operating Equipment (Retrofit)* <small>*If existing equipment has failed, please use the Prescriptive New Construction forms.</small>	Is Facility Air Conditioned? <input type="checkbox"/> Yes <input type="checkbox"/> No	Hours of Facility Operation per Week:
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COMPLETE APPLICATION CHECKLIST

Please review and check that the following are complete:

- Tables 1 and 2 on page 3 have been filled out completely.
- Manufacturer's technical specification sheets ("cut sheets") for fixtures, lamps, ballasts and controls are attached to the application.
- If no pre-approval is required, proof of purchase (invoices) including the date and place of purchase as well as size, type, make, model or part number of the equipment.

Please note that manufacturer's technical specification sheets and invoices (if project does not require pre-approval) must be submitted in order for your application to be processed.

By signing below, I acknowledge that I have read and approve of this application, and I agree to be bound by all program Terms and Conditions. Additionally, I certify that I have not and will not apply for an incentive for the measures on this application from any other Efficiency Maine program.

Customer Signature: _____ Date: _____

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TABLE 1: LIGHTING SYSTEMS INCENTIVE CALCULATION WORKSHEET

Item	New Systems Location	Measure Code (See Table 3)	Fixture Code (See Table 4/ Table 5)		Quantity of Fixtures		Weekly Hours of Equipment Operation	Per Unit Incentive (\$) (B) (See Table 3)	Total Incentive (\$) (A) x (B)
			Existing	Proposed	Existing	Proposed (A)			
<i>Ex.</i>	<i>Office</i>	<i>L10</i>	<i>2L 4'T12</i>	<i>2L 4'HPT8</i>	<i>10</i>	<i>10</i>	<i>50</i>	<i>\$12</i>	<i>\$120</i>
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
Lighting Systems Total:									

TABLE 2: LIGHTING CONTROLS INCENTIVE CALCULATION WORKSHEET

Item	New Systems Location	Measure Code (See Table 3)	Controls Quantity to be installed (A)	Controlled Lighting Measure Description	Quantity of Fixtures Controlled (B)	Weekly Hours of Equipment Operation	Per Unit Incentive (\$) (C)	Total Maximum Incentive (\$) (A) x (C)
<i>Ex.</i>	<i>Office</i>	<i>L70</i>	<i>2</i>	<i>2L 4' HPT8</i>	<i>16</i>	<i>50</i>	<i>\$50</i>	<i>\$100</i>
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								
12								
13								
Lighting Controls Total:								


TOTAL COST OF PROPOSED PROJECT

Type of Measure	Equipment Costs (\$)	Labor Costs (\$)	Requested Incentive (\$)
Lighting Systems			
Lighting Controls			
Totals			

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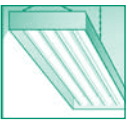











TABLE 3: LIGHTING SYSTEMS INCENTIVE AND ELIGIBILITY REQUIREMENTS

Measure	Code	Eligible Installations	Unit Incentive	Eligibility Criteria
 High Performance T8 Relamp & Reballast	L10	Upgrade an Existing T12 fixture with New High Performance T8 Lamps and Ballasts	\$12 per Fixture	<ul style="list-style-type: none"> Lamp and Ballast combination must be listed on the CEE List 1 Unit = 1 to 4 lamps Must be an upgrade of an existing T12 fixture
 Reduced Wattage Lamp and HPT8 Ballast Retrofit	L10.1	Upgrade an Existing T8 Fixture with New HPT8 Reduced Wattage Lamps and Ballasts	\$12 per Fixture	<ul style="list-style-type: none"> Lamp and Ballast combination must be listed on the CEE List 1 Unit = 1 to 4 lamps Existing T8 ballast must have a normal or high ballast factor Proposed HPT8 ballast must have a ballast factor of .80 or less
 New Fluorescent Fixtures	L15	2 to 4 ft. New Lighting Fixture with T5 or High Performance T8 Lamps and Ballasts	\$25 per Fixture	<ul style="list-style-type: none"> T5 and High Performance T8 Systems are eligible T8 Lamp and Ballast combination must be listed on the CEE List 1 Unit = 1 new fixture with 1 to 4 lamps and 1 ballast Must be new fixtures replacing existing T12 fixtures
 New Fluorescent Fixtures	L15.1	2 to 4 ft. New Lighting Fixture with HPT8 Reduced Wattage Lamps and Ballasts	\$25 per Fixture	<ul style="list-style-type: none"> T8 Lamp and Ballast combination must be listed on the CEE List 1 Unit = 1 to 3 lamps and 1 ballast Existing T8 ballast must have a normal or high ballast factor Proposed HPT8 ballast must have a ballast factor of .80 or less New fixture must reduce lamp count by 1
 Fluorescent Fixtures with Reflectors	L20	8 ft. Open Systems with T5 or High Performance T8 Lamps and Ballasts	\$25 per 8-ft. Section	<ul style="list-style-type: none"> T5 and High Performance T8 Systems are eligible T8 Lamp and Ballast combination must be listed on the CEE List 1 Unit = 1 new or retrofitted 8' fixture with 2 to 4, 4' lamps Each unit must include an aluminum/silver or new white integral reflector with a minimum surface reflectivity of 87%
 Compact Fluorescent	L25	Hard-Wired Fixtures	\$12 per Fixture	<ul style="list-style-type: none"> 1 Unit = 1 new fixture with 1 to 4 lamps and electronic ballast Exit signs, screw-in lamps, and retrofit kits are not eligible
 High Efficiency Fluorescent Fixtures	L30	Recessed or Surface Mounted High-Efficiency Troffer Fixture with HPT8 Lamps and Ballasts	\$35 per Fixture	<ul style="list-style-type: none"> T8 Lamp and Ballast combination must be listed on the CEE List 1 Unit = 1 new fixture with 2 to 3 lamps and 1 ballast Overall fixture efficiency must exceed 83% for prismatic lensed fixtures and 75% for parabolic fixtures Must be new fixtures replacing existing T12 fixtures
 High Efficiency Fluorescent Fixtures	L30.1	Recessed or Surface Mounted High-Efficiency Troffer Fixture with HPT8 Reduced Wattage Lamps and Ballasts	\$35 per Fixture	<ul style="list-style-type: none"> T8 Lamp and Ballast combination must be listed on the CEE List 1 Unit = 1 to 3 lamps and 1 ballast Existing T8 ballast must have a normal or high ballast factor Proposed HPT8 ballast must have a ballast factor of .80 or less New fixture must reduce lamp count by 1 Overall fixture efficiency must exceed 83% for prismatic lensed fixtures and 75% for parabolic fixtures
 Low Glare High Efficiency Recessed Fixtures	L32	Recessed High-Efficiency T5 or High Performance T8 Fixture with Low Glare Features	\$50 per Fixture	<ul style="list-style-type: none"> T5 and High Performance T8 Systems are eligible T8 Lamp and Ballast combination must be listed on the CEE List 1 Unit = 1 new fixture with 1 to 3 lamps and 1 ballast Overall fixture efficiency must exceed 80% Must be new fixtures replacing existing T12 fixtures Must be a new fixture incorporating advanced glare control features
 Low Glare High Efficiency Recessed Fixtures	L32.1	Recessed High-Efficiency Fixture with HPT8 Reduced Wattage Lamps and Ballasts	\$50 per Fixture	<ul style="list-style-type: none"> T8 Lamp and Ballast combination must be listed on the CEE List 1 Unit = 1 to 3 lamps and 1 ballast Existing T8 ballast must have a normal or high ballast factor Proposed HPT8 ballast must have a ballast factor of .80 or less New fixture must reduce lamp count by 1 Overall fixture efficiency must exceed 80%
 Pendant Mounted Indirect Fluorescent Fixtures	L35	Indirect and Direct/Indirect T5 or High Performance T8 Fixtures	\$35 per 4-ft. Section	<ul style="list-style-type: none"> T5 and High Performance T8 Systems are eligible T8 Lamp and Ballast combination must be listed on the CEE List 1 Unit = A 4' length (stand-alone or continuous runs) of new fixture with 1 to 3 lamps Overall fixture efficiency must exceed 80% Pendant length must be a minimum of 12" Ceiling must have a reflectivity of 80% or greater Must be a new fixture Uplight component must be 80% or greater

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TABLE 3 CONTINUED: LIGHTING SYSTEMS INCENTIVE AND ELIGIBILITY REQUIREMENTS

	High Intensity Fluorescent (H.I.F.)	L40	New Fluorescent or Compact Fluorescent Fixture for High and Low Bay Applications	\$65 per Fixture	<ul style="list-style-type: none"> T5, T5 HO, and High Performance T8 Systems are eligible T8 Lamp and Ballast combination must be listed on the CEE List Each unit must have a wattage greater than 125W 	<ul style="list-style-type: none"> Must be installed in areas with ceiling heights of 16' or greater 1 Unit = 1 fixture with 3 to 12 lamps Overall fixture efficiency must exceed 80% Must be a new fixture replacing an HID fixture
	LED Cooler Case Occupancy Sensor	L50	Cooler Case Mounted Occupancy Sensor for LED Fixtures	\$25 per Door Controlled	<ul style="list-style-type: none"> Must be installed with new, qualifying LED cooler case fixtures (S30) 	
	Controls for H.I.F. Systems	L60	Fixture Mounted Occupancy Sensor	\$40 per Fixture	<ul style="list-style-type: none"> Each unit must control a wattage total greater than 125W Ballast must be automatically controlled based on occupancy Must provide for continuous dimming or stepped dimming of at least 50% 	
	Remote Mounted Occupancy Sensors	L70	Remote Mounted Occupancy Sensor	\$50 per Control	<ul style="list-style-type: none"> Each unit must control a wattage total greater than 125W Wall switchplate mounted sensors are not eligible 	
	Vacancy Sensors	L71	Manual On/Auto Off Vacancy Sensor	\$25 per Control	<ul style="list-style-type: none"> Each unit must control a wattage total greater than 125W Wall and ceiling mounted units are eligible Sensors must operate on a manual on/automatic off basis Refer to Occupancy Sensors for more detail 	
	LED Exit Signs	X10	LED Exit Signs	\$10 per Sign	<ul style="list-style-type: none"> Signs may be one or two sided Signs must replace an existing incandescent or fluorescent exit sign 	
	LED Outdoor Area Fixture	S10	Outdoor Pole or Arm-Mounted LED Streetlight or Parking Lot Fixture	\$175 Per Fixture	<ul style="list-style-type: none"> Must be qualified by the DesignLights Consortium – see the following link for a complete list of qualified products: http://www.designlights.org/solidstate.about.QualifiedProductsList_Publicv2.php 	
	LED Wallpack	S12	Outdoor Wall-Mounted LED Area Fixture (Wallpack)	\$100 Per Fixture	<ul style="list-style-type: none"> Must be qualified by the DesignLights Consortium – see the following link for a complete list of qualified products: http://www.designlights.org/solidstate.about.QualifiedProductsList_Publicv2.php 	
	LED Parking Garage Fixture	S14	LED Parking Garage Fixture	\$175 Per Fixture	<ul style="list-style-type: none"> Must be qualified by the DesignLights Consortium – see the following link for a complete list of qualified products: http://www.designlights.org/solidstate.about.QualifiedProductsList_Publicv2.php 	
	LED Downlight	S20	Recessed, Surface and Pendant-Mounted LED Downlight	\$35 Per Fixture	<ul style="list-style-type: none"> Must be qualified by ENERGY STAR – see the following link for a complete list of qualified products: http://www.energystar.gov/index.cfm?fuseaction=find_a_product.showProductGroup&pgw_code=LTG 	
	LED Refrigerated Case Fixture	S30	Refrigerated Case LED Fixture	\$75 Per Door	<ul style="list-style-type: none"> Must be qualified by the DesignLights Consortium – see the following link for a complete list of qualified products: http://www.designlights.org/solidstate.about.QualifiedProductsList_Publicv2.php 	
	LED Screw and Pin-based Lamps	S40	Screw- and Pin-Based Integral LED Lamps (does NOT include LED linear replacement lamps)	\$20 Per Lamp	<ul style="list-style-type: none"> Must be qualified by ENERGY STAR – see the following link for a complete list of qualified products: http://www.energystar.gov/index.cfm?fuseaction=iledl.display_products_html 	

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TABLE 4: EXISTING FIXTURE CODES

Fixture Description	Fixture Code
1-Lamp 4' T12	1L4' T12
2-Lamp 4' T12	2L4' T12
3-Lamp 4' T12	3L4' T12
4-Lamp 4' T12	4L4' T12
1-Lamp 4' T8 Standard Wattage Lamps (32W)	1L4' T8
2-Lamp 4' T8 Standard Wattage Lamps (32W)	2L4' T8
3-Lamp 4' T8 Standard Wattage Lamps (32W)	3L4' T8
4-Lamp 4' T8 Standard Wattage Lamps (32W)	4L4' T8
2x2 Fixture 2 - T12 U Lamps	2LU T12
2x2 Fixture 2 - T8 U Lamps	2LU T8
2-Lamp 8' T12	2L8' T12
2-Lamp 8' T12 HO	2L8' T12HO
Metal Halide - 100W	100W MH
Metal Halide - 150W	150W MH
Metal Halide - 175W	175W MH
Metal Halide - 200W	200W MH
Metal Halide - 250W	250W MH
Metal Halide - 400W	400W MH
Pulse Start Metal Halide - 100W	100W PSMH
Pulse Start Metal Halide - 150W	150W PSMH
Pulse Start Metal Halide - 200W	200W PSMH
Pulse Start Metal Halide - 320W	320W PSMH
Pulse Start Metal Halide - 400W	400W PSMH
High Pressure Sodium - 70W	70W HPS
High Pressure Sodium - 100W	100W HPS
High Pressure Sodium - 150W	150W HPS
High Pressure Sodium - 250W	250W HPS
High Pressure Sodium - 400W	400W HPS
Other	Please enter description: ie... 175W Mercury Vapor

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TABLE 5: PROPOSED FIXTURE CODES

Measure Code	Fixture Description	Fixture Code to Enter	Measure Code	Fixture Description	Fixture Code to Enter	
Codes L10 and L10.1	1 Lamp 4' High Performance T8	1L4'HPT8	Code L40	4 Lamp 4' High Performance T8	4L4'HPT8	
	2 Lamp 4' High Performance T8	2L4'HPT8		5 Lamp 4' High Performance T8	5L4'HPT8	
	3 Lamp 4' High Performance T8	3L4'HPT8		6 Lamp 4' High Performance T8	6L4'HPT8	
	4 Lamp 4' High Performance T8	4L4'HPT8		8 Lamp 4' High Performance T8	8L4'HPT8	
Codes L15	1 Lamp 4' High Performance T8	1L4'HPT8		10 Lamp 4' High Performance T8	10L4' HPT8	
	2 Lamp 4' High Performance T8	2L4'HPT8		Code L50	4 Lamp 4' T5	4L4'T5
	3 Lamp 4' High Performance T8	3L4'HPT8			5 Lamp 4' T5	5L4'T5
	4 Lamp 4' High Performance T8	4L4'HPT8			6 Lamp 4' T5	6L4'T5
	1 Lamp 2' High Performance T8	1L2' HPT8			8 Lamp 4' T5	8L4'T5
	2 Lamp 2' High Performance T8	2L2' HPT8			10 Lamp 4' T5	10L4'T5
	3 Lamp 2' High Performance T8	3L2' HPT8	Code L60		3 Lamp 4' T5 High Output	3L4'T5HO
	4 Lamp 2' High Performance T8	4L2' HPT8			4 Lamp 4' T5 High Output	4L4'T5HO
	1 Lamp 4' T5	1L4'T5			5 Lamp 4' T5 High Output	5L4'T5HO
	2 Lamp 4' T5	2L4'T5			6 Lamp 4' T5 High Output	6L4'T5HO
	3 Lamp 4' T5	3L4'T5	Code L70		8 Lamp 4' T5 High Output	8L4'T5HO
	4 Lamp 4' T5	4L4'T5		10 Lamp 4' T5 High Output	10L4'T5HO	
Code L15.1	1 Lamp 4' T5 High Output	1L4'T5HO	Code L71	Cooler Case Mounted Occupany Sensor for LED Fixtures	LED R-OS	
	2 Lamp 4' T5 High Output	2L4'T5HO		Code L60	Fixture Mounted Occupancy Sensor On/Off	OS-On/Off
	3 Lamp 4' T5 High Output	3L4'T5HO	Code L70		Remote Mounted Occupancy Sensor _____ Watts Controlled	RMOS _____ W
	4 Lamp 4' T5 High Output	4L4'T5HO		Code L71	Vacancy Sensor _____ Watts Controlled	VS _____ W
Code L20	2 Lamp 4' High Performance T8	2L4'HPT8	Code X10		LED Exit Sign	LED
	4 Lamp 4' High Performance T8	4L4'HPT8		Code S10	LED Streetlight	LED SL
	2 Lamp 4' T5	2L4'T5	LED Parking Lot Light		LED PL	
	4 Lamp 4' T5	4L4'T5	Code S12	LED Wallpack	LED WP	
2 Lamp 4' T5 High Output	2L4'T5HO	Code S14		LED Parking Garage Fixture	LED PG	
4 Lamp 4' T5 High Output	4L4'T5HO		Code S20	LED Downlight	LED D	
Code L25	2 _____ Watt Lamp Compact Fluorescent Fixture	2 _____ WCFL		Code S30	LED Refrigerated Case Fixture	LED R
	3 _____ Watt Lamp Compact Fluorescent Fixture	3 _____ WCFL	Code S40		LED Screw-In Par Lamp	LED PAR
Codes L30 and L30.1	1 Lamp 4' High Performance T8	1L4'HPT8		LED Pin-Based MR16 Lamp	LED MR16	
	2 Lamp 4' High Performance T8	2L4'HPT8		LED Screw-In A-Type Lamp	LED A	
	3 Lamp 4' High Performance T8	3L4'HPT8				
	4 Lamp 4' High Performance T8	4L4'HPT8				
Codes L32	1 Lamp 4' T5 High Output	1L4'T5HO				
	2 Lamp 4' T5 High Output	2L4'T5HO				
	1 Lamp 4' High Performance T8	1L4'HPT8				
Codes L32.1	2 Lamp 4' High Performance T8	2L4'HPT8				
	3 Lamp 4' High Performance T8	3L4'HPT8				
	1 Lamp 4' T5	1L4'T5				
Code L35	2 Lamp 4' T5	2L4'T5				
	3 Lamp 4' T5	3L4'T5				
	1 Lamp 4' T5 High Output	1L4'T5HO				
	2 Lamp 4' T5 High Output	2L4'T5HO				

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TERMS AND CONDITIONS

1. INCENTIVES FOR QUALIFYING ECMS

- a) Efficiency Maine will award financial incentives to Eligible Customers for the purchase and installation of Qualifying ECMS, subject to these Terms and Conditions.
- b) "Qualifying ECMS" are Prescriptive Electric Conservation Measures identified in official program materials and site-specific Custom Measures approved by Efficiency Maine. Technologies that purport to save energy through reduction of voltage, demand, or power conditioning are not eligible ECMS. Unless explicitly pre-approved, ECMS must be new and covered by warranties.
- c) "Eligible Customers" are all non-residential customers of electric utilities in the State of Maine. Efficiency Maine incentives are awarded only to Eligible Customers for equipment that is owned by them, and such Customers are ultimately responsible for compliance with these Terms and Conditions.

2. OWNERSHIP OF CAPACITY AND/OR ENVIRONMENTAL CREDITS

- a) ECMS purchased and installed in part through incentives provided by this program are the property of the Customer, subject to any limitations contained within these Terms and Conditions.
- b) Notwithstanding the above, Efficiency Maine holds sole rights to any electric system capacity credits and environmental credits that may be associated with ECMS for which incentives were received, and Efficiency Maine can dispose of these credits in any manner authorized by law or regulation.
- c) In no event shall activity associated with any energy or environmental credits noted in Section 2(b) result in interference with the Customer's sole discretion to operate ECMS as approved in his/her incentive award.

3. PRE-APPROVAL AND PRE-INSTALLATION SURVEY REQUIRED

- a) Except for Customers applying for motor or agricultural incentives of any amount or for lighting, VFD or refrigeration incentives of less than \$2,500, Efficiency Maine is not obligated to award any incentives unless it pre-approves the Customer's Incentive Application and completes a pre-installation survey of the Customer's facilities, unless it has explicitly waived this latter requirement.
- b) Efficiency Maine has complete discretion to approve or disapprove of any proposed ECMS.

4. POST-INSTALLATION VERIFICATION REQUIRED

- Efficiency Maine is not obligated to pay any pre-approved incentive awards until it has performed a satisfactory post-installation verification, unless it has explicitly waived this requirement. If Efficiency Maine determines that ECMS were not installed in a manner consistent with the approved application, or if unapproved ECMS were installed, or if the installation was not consistent with generally accepted engineering practices, it may require changes before making payment. Also, Efficiency Maine will not make payment until it verifies that the Customer has received, as appropriate, final drawings, operation and maintenance manuals, and operator training. Efficiency Maine also reserves the right to inspect installations at facilities which were the recipients of incentives that were not pre-approved (see section 3a) and seek recovery of incentives paid if Qualifying ECMS cannot be located or are not installed in a manner consistent with the provisions of these Terms and Conditions.

5. CUSTOM MEASURE APPLICATIONS AND ANALYSIS

- a) Efficiency Maine will only approve Custom Measure Applications for ECMS that Efficiency Maine believes have reliable and cost effective energy savings potential in the proposed use and site. Efficiency Maine has discretion to approve or disapprove of any Measures proposed.
- b) In addition to completing all required worksheets, the Customer will be required to provide an analysis of the energy and demand reduction potential of the proposed ECMS. Efficiency Maine may require that this analysis be prepared by a licensed Professional Engineer whose competency and experience to perform the requisite analysis has been approved in advance by Efficiency Maine.
- c) Efficiency Maine may independently review the Application and analysis to verify the energy saving and demand reduction potential and the reasonableness of measure and installation cost estimates. Efficiency Maine reserves the right to reject or modify any Customer calculations, based on its analysis.

6. PRE-APPROVED LETTER

- After an Application is approved, the Customer will receive written notification of a maximum pre-approved incentive amount. Efficiency Maine will not pay incentives for any ECMS installed prior to the date of the Pre-approved Incentive Letter.

7. INDEPENDENT TESTING

- Efficiency Maine reserves the right to deny incentives for any ECMS that have not been favorably assessed or approved by recognized, independent public authorities, such as the Underwriter's Laboratory (UL). Efficiency Maine may, at its discretion, require the Customer undertake, at his or her own expense, testing of a proposed ECM that does not carry the Listing Mark by UL, or an equivalent independent testing facility approved in advance by Efficiency Maine.

8. INCENTIVE AMOUNTS

- a) Efficiency Maine reserves the right to adjust and/or negotiate the incentive amount, based upon its independent assessment of appropriate savings or cost estimates.
- b) Once an incentive is pre-approved, Efficiency Maine will pay no more than the approved fraction of the incremental cost to the Customer of purchasing the ECM, or the pre-approved incentive amount, whichever is less. Efficiency Maine may, at its discretion, recognize incremental installation labor costs that are unique to the proposed ECM to the extent that they are reasonable, verifiable, and actually incurred by the Customer. Efficiency Maine has the right to lower the incentive amount if the quantity and/or cost of ECMS actually installed by the Customer differ from the pre-approved amounts. Efficiency Maine has the right to seek a refund for incentives paid if, at any time, it learns that the ECMS were not actually and properly installed or were subsequently disconnected.

9. COST OF EQUIPMENT

- The Customer must provide copies of all invoices or other reasonable documentation that verify the costs of purchasing and installing the ECMS, including all materials, labor, and equipment discounts. Invoices must indicate a verifiable breakout of all ECMS purchased for installation under this Agreement. Efficiency Maine also has the right at any time to require invoices from the contractor to determine the price paid by the contractor (including any discounts or incentives) from his or her supplier for the ECMS.

10. SCHEDULE FOR INCENTIVE PAYMENTS

- Efficiency Maine expects to pay all incentives within thirty (30) days after project completion. Project completion requires:
- a) Submission to Efficiency Maine of all documentation
 - b) Completed installation of the approved ECMS
 - c) Efficiency Maine's acceptance of (a) and (b) above, all in accordance with the specifications outlined elsewhere in these Terms and Conditions.

11. MONITORING AND EVALUATION FOLLOW-UP VISITS

- Efficiency Maine reserves the right to make follow-up visits to a Customer's facility during the 24 months following the actual completion date at a time convenient to the Customer, and with at least one week advance notice. The purpose of the visit(s) is to review the operation of the ECMS for program evaluation purposes, including monitoring their energy performance. The scope of review is limited to determining whether program conditions have been met.

12. CHANGES IN/CANCELLATION OF THE PROGRAM

- a) Efficiency Maine may change the program requirements, incentives, or Terms and Conditions at any time without notice, including suspending acceptance of applications or terminating the program. Efficiency Maine is not obligated to approve any submitted application that may result in Efficiency Maine exceeding its program budget.
- b) In the event of program change, pre-approved applications will be processed to completion under the Terms and Conditions in effect at the time of the pre-approval by Efficiency Maine.
- c) Submission of a completed application does not entitle the Customer to program participation. Entitlement occurs only when Efficiency Maine has signed the application and incentive pre-approval form.

13. PUBLICITY OF CUSTOMER PARTICIPATION

- By accepting an incentive through the program, the Customer understands that Efficiency Maine reserves the right to disclose certain information about the Customer's participation in the program, including, but not necessarily limited to, the Customer's name and address, the incentive amount, projected energy savings as well as other non-proprietary business information.

14. INSTALLATION SCHEDULE REQUIREMENTS

- a) If the Customer has (a) not engaged in installation of the approved project, and has (b) not applied to Efficiency Maine for a project extension within one year from the date Efficiency Maine pre-approves the project, Efficiency Maine may cancel the Agreement without liability.

- b) A Customer who fails to advise Efficiency Maine that a project is complete, or who fails to provide required post-installation documentation as described elsewhere in these Terms and Conditions, within 60 days of project installation may be denied incentive payment.

15. INDEMNIFICATION

- a) Efficiency Maine's liability under this Agreement will be limited to paying the incentive amounts specified in this Agreement. Efficiency Maine and any of its affiliates or contractors shall not be liable to the Customer for any consequential or incidental damages or for any damages in tort (including negligence) caused by any activities associated with this Agreement or in the program.
- b) The Customer shall protect, indemnify, and hold harmless Efficiency Maine from and against all liabilities, losses, claims, damages, judgments, penalties, causes of action, costs and expenses (including, without limitation, attorney's fees and expenses) incurred by or assessed against Efficiency Maine arising out of or relating to the performance of this Agreement.

16. NO WARRANTIES

- a) Efficiency Maine does not endorse, guarantee, or warrant any particular manufacturer or product, and it provides no warranties, expressed or implied, for any product or services. The Customer's reliance on warranties is limited to any warranties that may be provided by contractors, vendors, etc.
- b) Neither Efficiency Maine nor its consultants are responsible for assuring that the design, engineering and construction of the facility or installation of the ECMS is proper or complies with any particular laws, codes, or industry standards. Efficiency Maine does not make any representations of any kind regarding the results to be achieved by the ECMS or the adequacy or safety of such measures.

17. CUSTOMER MUST PAY ALL TAXES

- Incentives received by the Customer may be taxable by the federal, state, and local government. The Customer is responsible for declaring and paying all such taxes.

18. VENDOR SELECTION

- The Customer may select any vendor or contractor to perform the work contemplated by this application, even after the application is submitted for pre-approval by Efficiency Maine. However Efficiency Maine has the right to prohibit specific vendors or contractors from program participation.

19. REMOVAL OF EXISTING EQUIPMENT

- The Customer agrees, as a condition of participation in the program, to remove and dispose of the equipment being replaced by the ECMS in accordance with all laws, rules, and regulations. The Customer agrees not to reinstall any of this equipment anywhere in the State of Maine, or transfer it to any other party for installation in the State of Maine.

20. ECM LIFE

- By accepting an Efficiency Maine incentive, Customer hereby agrees that he/she will not alter, modify or discontinue use of the efficiency measures without prior written approval from Efficiency Maine and that efficiency measures funded by Efficiency Maine will be used for their rated useful life.

21. MISCELLANEOUS

- a) The entire Agreement between the Customer and Efficiency Maine composed of an approved, signed application, these Terms and Conditions, and all subsequent correspondence relating to that specific application, requires a Customer signature.
- b) Paragraph headings are for the convenience of the parties only and are not to be construed as part of this Agreement.
- c) The Customer acknowledges that the only individuals authorized to bind Efficiency Maine under this Agreement are Efficiency Maine staff and authorized agents of Efficiency Maine.
- d) If either Efficiency Maine or the Customer desires to modify this Agreement, the modification must be in writing and signed by an authorized representative of the party against which enforcement of the modification is sought.
- e) If any provision of the Terms and Conditions is deemed invalid by any court or administrative body having jurisdiction, such ruling shall not invalidate any other provision, and the remaining Terms and Conditions shall remain in full force and effect in accordance with their terms.
- f) In the event of any dispute concerning these Terms and Conditions, or any other requirement of this program or condition of incentive award, resolution will be governed in all respects by the laws, statutes, and regulations of the United States of America and of the State of Maine. Any legal proceeding against the State regarding this agreement shall be brought in State of Maine administrative or judicial forums. The Customer consents to personal jurisdiction in the State of Maine.