

Multifamily Efficiency Program Prescriptive Rebate Application



Instructions: Please complete this form and submit with PAID and ITEMIZED INVOICES. To be eligible for rebates, upgrades must be installed or overseen by a Program Partner, Registered Vendor or Qualified Partner. A complete program application, including all required invoices, must be submitted for Prescriptive measures within 90 days of installation. Please scan and submit to efficiencymaine@efficiencymaine.com.

Section 1: Building Information

Building Name: _____

Street Address: _____

City _____ State: ME Zip Code: _____

Building Size (sf): _____ Year Built: _____ # of Stories (above ground): _____ # of Apt Units: _____

Space Heating: Heat Pump Electric Resistance (Baseboard) Existing Cooling? Yes
 Natural Gas Propane No
 Heating Oil Kerosene
 Wood

Water Heating: Electric Natural Gas Propane Heating Oil Kerosene Wood

Section 2: Owner/Participant Information

Participating/Owning Company Name: _____

Federal Tax ID or SSN: _____

Street Address: _____

City _____ State: ME Zip Code: _____

Business Type: Business Government Nonprofit Organization

Tax Status: Corporation Individual/Sole Proprietor Partnership Tax Exempt/Government

Owner/Participant Contact Name: _____
(first) (last)

E-mail: _____ Primary Phone: (_____) _____ - _____

(Note: Rebate checks will be mailed directly to the Owner at the above address, unless Efficiency Maine is notified otherwise.)

Notes (if needed): _____

Section 3: Prescriptive Measures Installed

(check all measures that apply and fill out appropriate sections)

Air Sealing: Rebate is 50% of measure cost, up to \$100 per apartment

Eligibility Requirements: $\geq 10\%$ reduction in CFM50

Pre-Retrofit CFM50: _____ Post-Retrofit CFM50: _____

Work Scope Description: _____

Installation Date: _____ Total Invoiced Cost: \$ _____

Requested Rebate Amount: \$ _____

Attic/Roof Insulation: Rebate is 50% of measure cost, up to \$200 per apartment

Eligibility Requirements: Air sealing must be part of any loose fill insulation installation.

Pre-Retrofit Insulation R-Value: _____ Post-Retrofit Insulation R-Value: _____

Insulation Area (sf): _____ Installed Insulation Type: _____

Installation Date: _____ Total Invoiced Cost: \$ _____

Requested Rebate Amount: \$ _____

Wall Insulation: Rebate is 50% of measure cost, up to \$200 per apartment

Eligibility Requirements: Air sealing must be part of any fiberglass insulation installation.

Pre-Retrofit Insulation R-Value: _____ Post-Retrofit Insulation R-Value: _____

Insulation Area (sf): _____ Installed Insulation Type: _____

Installation Date: _____ Total Invoiced Cost: \$ _____

Requested Rebate Amount: \$ _____

Floor/Foundation Insulation: Rebate is 50% of measure cost, up to \$200 per apartment

Eligibility Requirements: Air sealing must be part of any fiberglass insulation installation. Dirt floors must be covered completely with at least a 6 mill polyethylene & fastened to walls. Basement ceiling insulation must be in complete contact with the floor above and floor joist.

Pre-Retrofit Insulation R-Value: _____ Post-Retrofit Insulation R-Value: _____

Insulation Area (sf): _____ Installed Insulation Type: _____

Installation Date: _____ Total Invoiced Cost: \$ _____

Requested Rebate Amount: \$ _____

High Efficiency Heating Systems: Rebate is 50% of measure cost, up to \$300 - \$4,500 per system. See [website](#) for more details.

Eligibility Requirements: Please refer to [website](#) for minimum efficiency requirements, which vary depending on equipment type and capacity.

	Heating System Type 1	Heating System Type 2	Heating System Type 3
Existing Equipment Type <i>(Choose from options below)</i>			
Existing Equipment Input Capacity <i>(MBtu/h)</i>			
Existing Fuel Type <i>(Choose from options below)</i>			
Proposed Equipment Type <i>(Choose from options below)</i>			
Measure Code <i>(Refer to Rebate Reference Form)</i>			
Proposed Equipment Input Capacity <i>(MBtu/h)</i>			
Proposed Efficiency (%) <i>AFUE or Thermal Efficiency</i>			
Manufacturer and Model #			
If Fuel Switch, Proposed Fuel Type <i>(Choose from options below)</i>			
Quantity Installed			
Requested Rebate Amount \$			

Existing Equipment Type	Existing Fuel Type	Proposed Equipment Type	Proposed Fuel Type
<ol style="list-style-type: none"> 1. Air Furnace 2. Non-Condensing Hot Water Boiler 3. Condensing Hot Water Boiler 4. Steam Boiler 5. Unit Heater, Low-intensity, Infrared 6. Unit Heater, Warm Air 7. Heat Pump 8. Electric Resistance Baseboard 	<ol style="list-style-type: none"> 1. Natural Gas 2. Propane 3. Heating Oil 4. Kerosene 5. Wood 6. Electric 	<ol style="list-style-type: none"> 1. Air Furnace 2. Non-Condensing Hot Water Boiler 3. Condensing Hot Water Boiler 4. Steam Boiler 5. Unit Heater, Low-intensity, Infrared 6. Unit Heater, Warm Air 	<ol style="list-style-type: none"> 1. Natural Gas 2. Propane 3. Heating Oil 4. Kerosene 5. Wood

Installation Date: _____ Total Invoiced Cost: \$ _____

Requested Rebate Amount: \$ _____

Please check statement to acknowledge.

Manufacturer specification sheet showing heating system model number, equipment capacity, and efficiency has been submitted along with this application.

Boiler Controls (Outdoor Reset): Rebate is 50% of measure cost, up to \$125 per control.

Eligibility Requirements: Condensing boiler installations not eligible.

Qty of Boiler Controls Installed: _____ Boiler Control Manufacturer and Model #: _____

Qty of Boilers to be Controlled: _____ Input Capacity of Controlled Boiler – Mbtu/h (per boiler): _____

Installation Date: _____ Total Invoiced Cost: \$ _____

Requested Rebate Amount: \$ _____

Programmable Thermostats: Rebate is 50% of measure cost, up to \$50 per thermostat.

Eligibility Requirements: Automatic setback thermostats are also allowed. Programmable thermostats must be programmed during installation.

Qty of Thermostats Installed: _____ Thermostat Manufacturer and Model #: _____

If Cooling in Building, Total Cooling Capacity Controlled (Tons): _____

If Heat Pump, Total Heating Capacity of Controlled Heat Pump (MBtu/h): _____

If Electric Resistance Heat, Total Heating Capacity of Electric Resistance Heat (W): _____

If Non-Electric Heat, Total Input Capacity of Non-Electric Heat (MBtu/h): _____

Installation Date: _____ Total Invoiced Cost: \$ _____ **Requested Rebate Amount:** \$ _____

Smart Pump with ECM Motor: Rebate is 50% of measure cost, up to \$200 per pump.

Eligibility Requirements: Existing motor and system must be compatible with VFD operation.

Qty of Smart Pumps Installed: _____ Smart Pump Manufacturer and Model #: _____

HP per Controlled Pump Motor: _____

Installation Date: _____ Total Invoiced Cost: \$ _____ **Requested Rebate Amount:** \$ _____

Ductless Heat Pump: Rebate is 50% of measure cost, up to \$1,000 per outdoor unit **for projects initiated on or before May 8, 2015 and applications submitted on or before May 22, 2015. Any application received after May 22, 2015 will receive rebates in the amount of \$500 per single zone, \$750 per 2-zone and \$1,000 for 3 or more zone units.**

Eligibility Requirements: For single-zone units HSPF must be ≥ 12.0 Btu/Wh. For multi-zone units HSPF must be ≥ 10.0 Btu/Wh.

	Type 1	Type 2	Type 3	Type 4
Qty of Outdoor Units				
Number of Zones (Per Outdoor Unit)				
Manufacturer				
Model #				
Serial #				
Heating Efficiency – HSPF Rating (BTU/Wh)				

Installation Date: _____ Total Invoiced Cost: \$ _____

Requested Rebate Amount: \$ _____

High Efficiency Water Heating: Rebate is 50% of measure cost, up to \$150 per apartment

Eligibility Requirements: Must meet **ENERGY STAR Level**, where applicable as follows:

Storage Water Heaters (Non-Electric)

EF ≥ 0.67 (if <50 gal) or Thermal Efficiency ≥ 90% (if >50 gal)

Tankless Water Heater (Non-Electric)

EF ≥ 0.82

Heat Pump Water Heater

EF ≥ 2.0

Indirect Water Heater (Boiler Efficiency)

Combustion Efficiency ≥ 85%

1. High Efficiency Storage Water Heater

Qty of Water Heaters Installed: _____

Proposed Water Heater Energy Factor (EF): _____

Total # of Occupants: _____

Existing Water Heater Electric?: Yes No

If proposed tank size >50 gal,

Proposed Water Heater Thermal Efficiency (%): _____

Existing Input Capacity

Proposed Standby Loss Specification (Btu/h): _____

(Btu/h per Unit): _____

Existing Tank Volume (Gallons per Unit): _____

Proposed Manufacturer and Model #: _____

If proposed tank size <50 gal,

Proposed Water Heating Fuel: Electric Natural Gas Propane Heating Oil Kerosene Wood

2. Tankless Water Heater

Qty of Water Heaters Installed: _____

Existing Tank Volume (Gallons per Unit): _____

Total # of Occupants: _____

Proposed Water Heater Energy Factor (EF): _____

Existing Water Heater Electric?: Yes No

Proposed Manufacturer and Model #: _____

Existing Input Capacity

Proposed Water Heating Fuel: Electric Natural Gas

(Btu/h per Unit): _____

Propane Heating Oil Kerosene Wood

3. Indirect Water Heater

Qty of Water Heaters Installed: _____

Existing Tank Volume (Gallons per Unit): _____

Total # of Occupants: _____

Indirect Boiler Combustion Efficiency (%): _____

Existing Water Heater Electric?: Yes No

Proposed Manufacturer and Model #: _____

Existing Indirect?: Yes No

Proposed Water Heating Fuel: Electric Natural Gas

Existing Input Capacity

Propane Heating Oil Kerosene Wood

(Btu/h per Unit): _____

4. Heat Pump Water Heater

Qty of Water Heaters Installed: _____

Existing Tank Volume (Gallons per Unit): _____

Total # of Occupants: _____

Proposed Water Heater Energy Factor (EF): _____

Existing Input Capacity

Proposed Manufacturer and Model #: _____

(Btu/h per Unit): _____

Installation Date: _____ Total Invoiced Cost: \$ _____

Requested Rebate Amount: \$ _____

Please check statement to acknowledge.

Manufacturer specification sheet showing water heater model number and efficiency has been submitted along with this application.

Low Flow Devices: Rebate is 50% of measure cost, up to \$10 per apartment

Eligibility Requirements: Maximum flow rate of 2.0 GPM for showerheads and 1.5 GPM for faucets.

Qty of Low Flow Bathroom Faucets: _____ Manufacturer + Model #: _____

Qty of Low Flow Kitchen Faucets: _____ Manufacturer + Model #: _____

Qty of Low Flow Showerheads: _____ Manufacturer + Model #: _____

Installation Date: _____ Total Invoiced Cost: \$ _____

Requested Rebate Amount: \$ _____

Lighting: Rebate is 50% of measure cost, up to \$18 - \$325 per fixture **for projects initiated on or before May 8, 2015 and applications submitted on or before May 22, 2015. Any application received after May 22, 2015 will receive rebates ranging from \$10 - \$275. Contact Efficiency Maine for details.**
Eligibility Requirements: Please refer to [Prescriptive Lighting Measure Code Reference Form](#) for minimum efficiency requirements, which are specific to each measure code.

Instructions: Please fill out tables below for lighting fixtures and/or lighting controls installed. For items marked *, refer to [Prescriptive Lighting Measure Code Reference Form](#) for specific measure names, measure codes, and fixture codes.

Table 1: Lighting Fixtures										
	Lighting Measure Name*	Measure Code*	Existing Fixture Description*	Existing Fixture Code*	Existing Quantity	Space Type (Choose from options below)	Proposed Fixture Description*	Proposed Fixture Code*	Proposed Quantity	Rebate Requested
1										
2										
3										
5										
6										
7										
8										

Table 2: Lighting Controls + Sensors								
	Lighting Controls Measure Name*	Measure Code* (L70 or L71)	Quantity of Controls Installed	Space Type (Choose from options below)	Controlled Fixture Description*	Controlled Fixture Code*	Controlled Fixture Quantity	Rebate Requested
1								
2								
3								
4								

Lighting Fixture Space Types	Lighting Controls Spaces Types
1. In-Unit/Apartment	1. Common Area: Corridors
2. Common Area: Corridors	2. Common Area: Stairs (24 HR)
3. Common Area: Stairs (24 HR)	3. Common Area: Other (non-24 HR)
4. Common Area: Other (non-24 HR)	
5. Exterior Lighting	

Installation Date: _____

Total Invoiced Cost: \$ _____

Requested Rebate Amount: \$ _____

Section 4: Rebate Requested

Please note all requested rebate amounts for each Prescriptive measure installed:

Air Sealing: \$ _____
Attic/Roof Insulation: \$ _____
Wall Insulation: \$ _____
Floor/Foundation Insulation: \$ _____
High Efficiency Heating System: \$ _____
Boiler Controls: \$ _____
High Efficiency Water Heating: \$ _____
Low Flow Devices: \$ _____
Programmable Thermostats: \$ _____
Smart Pump with ECM Motor: \$ _____
Ductless Heat Pump: \$ _____
Lighting: \$ _____
Total Rebate Requested: \$ _____

Section 5: Partner + Owner/Participant Acknowledgement

Please check all statements to acknowledge.

- Yes, all measures identified in this application have been installed and comply with all applicable program requirements, all local and state codes, and in accordance with manufacturer's specifications and best practices.
- Yes, the Building Owner has read and agrees to Efficiency Maine's Multifamily Efficiency Program Terms and Conditions.
- Yes, the Partner and Building Owner confirm that all measures identified in this application as installed are not receiving additional rebates from other Efficiency Maine programs.
- Yes, invoices outlining all material and labor costs for each prescriptive measure installed have been submitted along with this application.
- Yes, the Building Owner's W-9 has been submitted along with this application.

Partner

Partner Signature: _____ Date: _____

Partner Firm: _____

Building Owner or Authorized Representative

Owner Signature: _____

Print Name: _____ Date: _____

**Efficiency Maine
Multifamily Efficiency Program
Terms and Conditions**

Through its Multifamily Efficiency Program (“Program”), Efficiency Maine offers rebates to owners of qualified multifamily properties for eligible energy efficiency upgrades by participating installers. Participation in the Program is subject to these Terms and Conditions and the Multifamily Efficiency Program Manual. Customer’s execution of a Program application or acceptance of a rebate under the Program constitutes Customer’s acknowledgement of and agreement to be bound by these Terms and Conditions and the Program Manual.

DEFINITIONS

- a) Customer – An eligible owner of one or more qualified multifamily properties in the State of Maine.
- b) Multifamily property – any qualified multifamily building with five or more apartments as defined in the Multifamily Efficiency Program Manual.
- c) Energy efficiency measures (“Measures”) – any qualifying energy upgrade listed or allowed in the Program Manual.
- d) Participating installer – unless otherwise stated in the Program Manual, any Multifamily Efficiency Program Partners, Residential Registered Vendors, and Qualified Partners are eligible installers of Measures under this Program.

TERMS AND CONDITIONS

1. OWNERSHIP OF CAPACITY AND ENVIRONMENTAL CREDITS

- a) Measures used to qualify for rebates under the Program are the property of the Customer, subject to any limitations contained within these Terms and Conditions.
- b) Efficiency Maine holds sole rights to any environmental, energy market, electric system capacity, and similar credits resulting from or that may be associated with Measures for which rebates were received, and Efficiency Maine can dispose of these credits in any manner authorized by law or regulation.
- c) In no event shall Efficiency Maine’s disposition, use, or other activity associated with any energy or environmental credits interfere with the Customer’s sole discretion to operate Measures as approved in Customer’s rebate award.

2. CUSTOM PATH PRE-APPROVAL LETTER

Under the Custom Path, after an application is approved, the Customer will receive written notification of a maximum pre-approved incentive amount. Efficiency Maine will not pay Custom Path rebates for any Measures installed prior to the date of the Pre-Approved Incentive Letter, or any amounts in excess of the approved award.

3. INCENTIVE AMOUNTS

- a) Incentive amounts are listed in the Program Manual, as may be amended.
- b) The Customer is required to use the incentive amounts only for the approved purposes. Efficiency Maine has the right to seek a refund for incentives paid, and Customer shall be obligated to reimburse Efficiency Maine, if, at any time, it learns that the Measures were not actually and properly installed or were subsequently disconnected.

4. COST OF EQUIPMENT

The Customer must provide copies of paid invoices or other satisfactory documentation verifying the costs of purchasing and installing the Measures.

5. DEADLINES

The Customer must abide by the applicable Program deadlines as set forth in the Program Manual. Efficiency Maine is not obligated to disburse rebates on applications received after the applicable Program deadline.

6. INSPECTIONS AND EVALUATION VISITS

Efficiency Maine reserves the right to inspect Measure installations to ensure eligibility and satisfactory installation before paying incentives. Efficiency Maine also reserves the right to make follow-up visits to a Customer's property during the 24 months following the completion date at a time convenient to the Customer. The purpose of the visit(s) would be to review the operation of the Measures for program evaluation purposes, including monitoring their energy performance. The Customer hereby authorizes Efficiency Maine to conduct such inspections and visits.

7. PROGRAM CHANGES

Efficiency Maine may change the Program requirements, rebates, or Terms and Conditions at any time without notice, including suspending acceptance of applications or terminating the Program. Efficiency Maine is not obligated to approve any submitted application that may result in Efficiency Maine exceeding its Program budget.

In the event of a Program change, pre-approved applications will be processed to completion under the Program Manual and Terms and Conditions in effect at the time of the pre-approval by Efficiency Maine.

8. PUBLIC INFORMATION

Efficiency Maine is a quasi-state agency. A Customer's participation in the Program may be a public record. Subject to any confidentiality requirements under applicable law, Efficiency Maine reserves the right to publicly disclose information about the Customer's participation in the Program. Disclosures may include, but are not limited to regulatory and legislative reports, tax reporting, published reports, Efficiency Maine newsletters, maps, web pages, presentations and marketing material.

9. WAIVER AND LIMITATION OF LIABILITY; INDEMNIFICATION

a) Efficiency Maine's sole obligation and total liability under this Agreement will be limited to paying the incentive amounts as approved by Efficiency Maine. In no event shall Efficiency Maine be liable to the Customer or anyone claiming through Customer, for any special, consequential, or incidental damages, including lost profits or lost business opportunities, or for any damages in contract or tort (including negligence) arising or resulting from the Customer's participation in the Program or Efficiency Maine's administration of the Program. Except for the right to receive incentive amounts properly payable under the terms of the Program, the Customer expressly waives all claims against Efficiency Maine.

b) The Customer shall protect, indemnify, and hold harmless Efficiency Maine and its trustees, employees, and agents from and against all liabilities, losses, claims, damages, judgments, penalties, causes of action, costs and expenses (including, without limitation, attorney's fees and expenses) incurred by or assessed against Efficiency Maine arising out of or relating to the Customer's participation in the Program and the installation and operation of the Measures.

c) Nothing herein shall be construed to waive, release, or diminish any statutory or common law immunities of Efficiency Maine as a governmental entity, all of which are expressly retained.

10. WARRANTIES; DISCLAIMERS

a) Efficiency Maine does not endorse, guarantee, or warrant any particular manufacturer or product, and it provides no warranties, express or implied, for any product or services. Efficiency Maine expressly disclaims all warranties relating to the Measures, including the installation of any Measures. Warranties are limited to those that may be provided by manufacturers, equipment suppliers, contractors, or vendors used by the Customer.

b) Neither Efficiency Maine nor its agents or consultants are responsible for assuring that the design, engineering, or construction of any Measure or installation of the Measures is proper or complies with any particular laws, codes, or industry standards. Efficiency Maine does not make any representations of any kind regarding the results to be achieved by the Measures or the adequacy or safety of the Measures.

c) The Customer is solely responsible for the selection of a participating installer and shall be solely responsible for all contractual undertakings with and payments to the participating installer. Efficiency Maine does not endorse, guarantee, or warrant the performance of any participating installer. In no event shall Efficiency Maine be liable for any act or omission of any participating installer or any third party providing goods or services to the Customer.

11. TAXES

Incentives received by the Customer may be taxable. The Customer is responsible for determining any tax obligations and declaring and paying all taxes that may be due in connection with participation in the Program. Customers must provide their tax identification number for payment processing. Incentive payments in excess of \$600 made to unincorporated entities will be reported by Efficiency Maine on IRS Form 1099-MISC.

12. PROGRAM OVERLAP

Multifamily Efficiency Program incentives cannot be combined with incentives from other Efficiency Maine programs.

13. MEASURE LIFE

Customer acknowledges and agrees that Measures funded by Efficiency Maine must be used for their intended purpose and for their rated useful life. By accepting an Efficiency Maine incentive, Customer agrees that it will not alter, modify or discontinue use of the Measures without prior written approval from Efficiency Maine.

14. MISCELLANEOUS

a) These Terms and Conditions, the Program Manual, and any written approval notice from Efficiency Maine govern the rights and obligations of the Customer and Efficiency Maine. No other terms or conditions inconsistent with the foregoing shall be binding unless expressly agreed in writing and signed by both the Customer and Efficiency Maine.

b) If any provision of these Terms and Conditions is deemed invalid by any court or administrative body having jurisdiction, such ruling shall not invalidate any other provision, and the remaining Terms and Conditions shall remain in full force and effect in accordance with their terms.

c) In the event of any dispute concerning these Terms and Conditions, or any other requirement of the Program or condition of an incentive award, resolution will be governed in all respects by the laws, statutes, and regulations of the State of Maine. Any legal proceeding shall be brought in State of Maine administrative or judicial forums. The Customer consents to personal jurisdiction in the State of Maine.